Implementing Baseline Expectations for Trust in Federation

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Baseline Expectations for Trust in Federation: Review

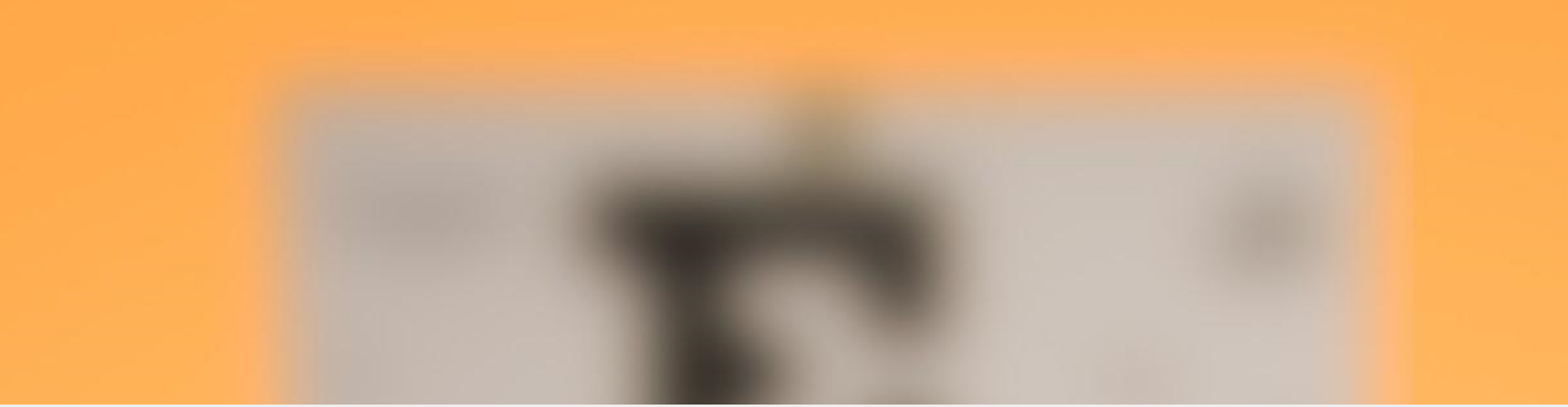
- IdPs must meet ABC
- SPs must meet XYZ
- Federation Operator must meet LMNOP

Final version in InCommon's document repository

E
T O Z 3
L P E D 4
P E C F D 5
E D F C Z P 6

CLEAR

Federation metadata…includes site technical, admin, and security contacts, MDUI information, and privacy policy URL



BLURRY

Generally-accepted security practices are applied to the IdP

Unless governed by an applicable contract, attributes required to obtain service are appropriate and made known publicly

Good practices are followed to ensure accuracy and authenticity of metadata to enable secure and trustworthy federated transactions

New Document

Processes to Implement and Maintain Baseline Expectations of InCommon Participants, TI.35.1

1. Community Consensus
2. Community Dispute
3. Ongoing Processes
4. New Website

Full document

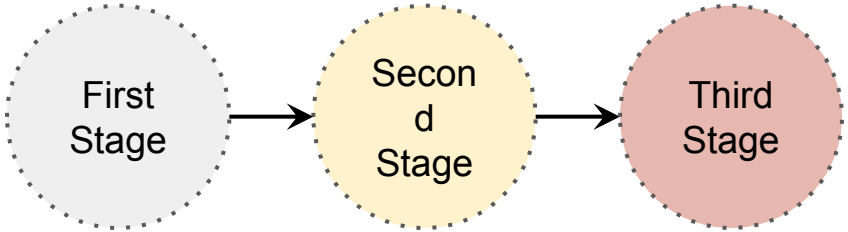
Community Consensus

1. Raise an issue on technical-discuss@incommon.org or participants@incommon.org
2. AAC facilitates discussion, calling in subject matter experts
3. Achieve consensus towards one of the following
    a. Provide provisional guidance, facilitate consultation, publish recommendations
    b. Suggest changes to Baseline Expectations for the next revision
    c. Spin off a profile WG

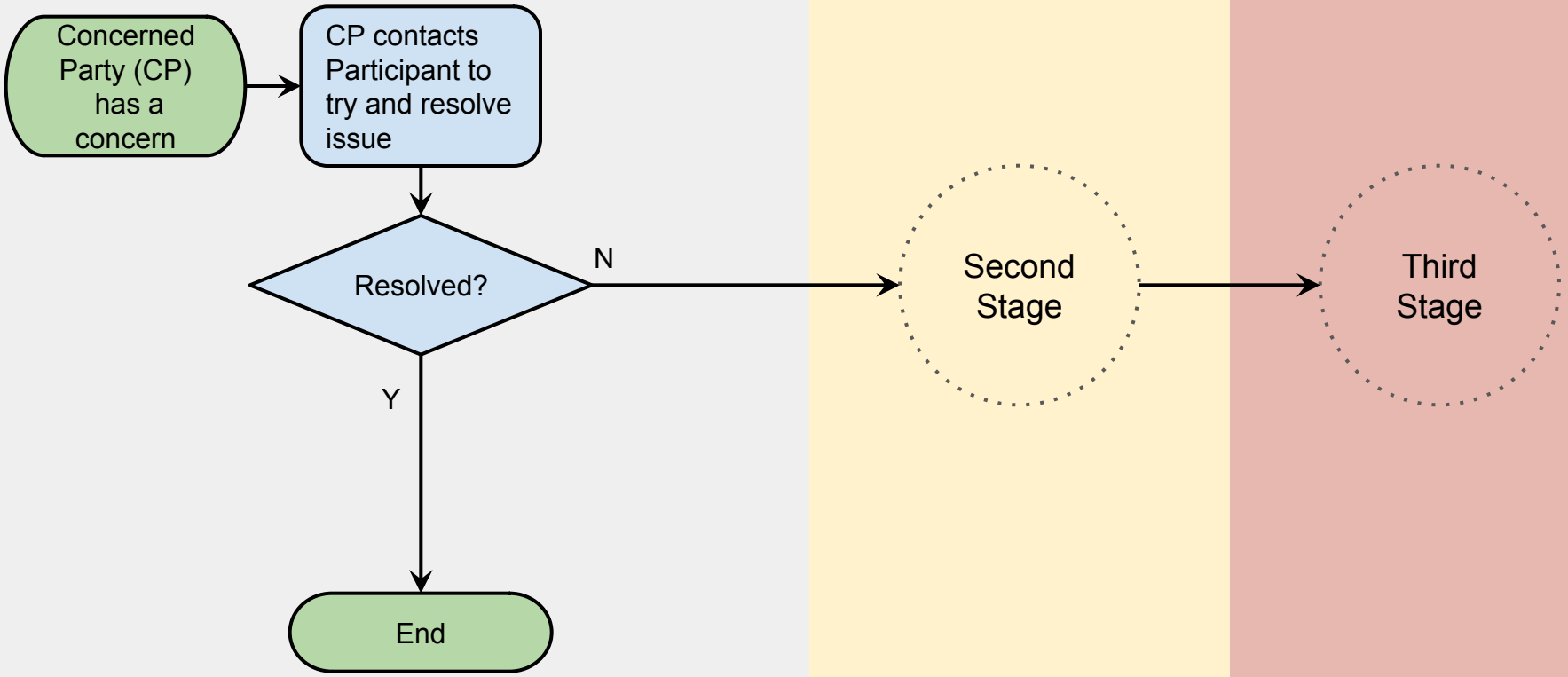
Community Dispute

Three-stage process to resolve disputes or concerns between a Concerned Party and Participant

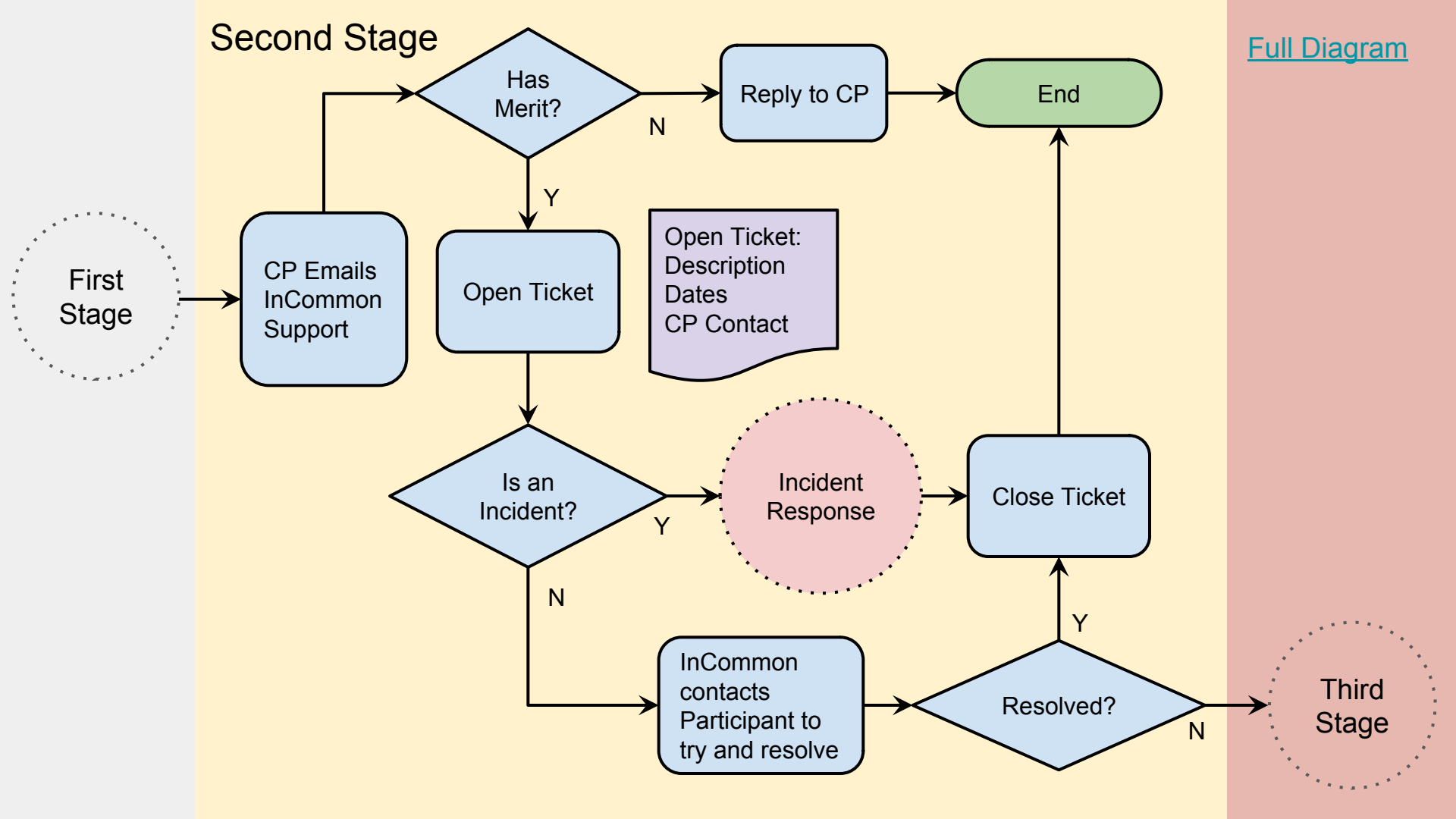
1. Peer-toPeer
2. InCommon Operations Assisted
3. AAC Formal Review Process
    1. Public Docket Review
    2. Recommendation to InCommon Steering
    3. Alter Metadata

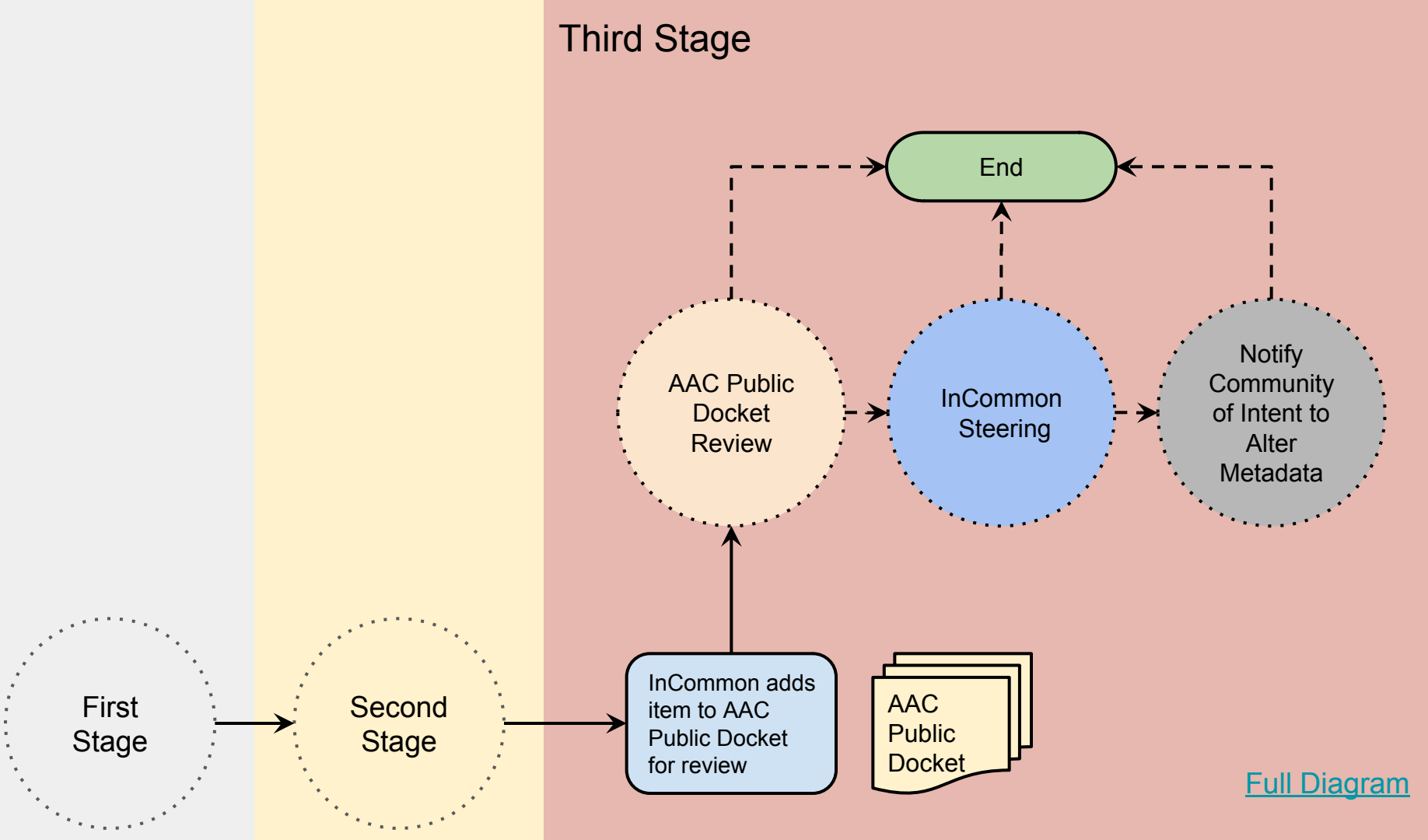


First Stage

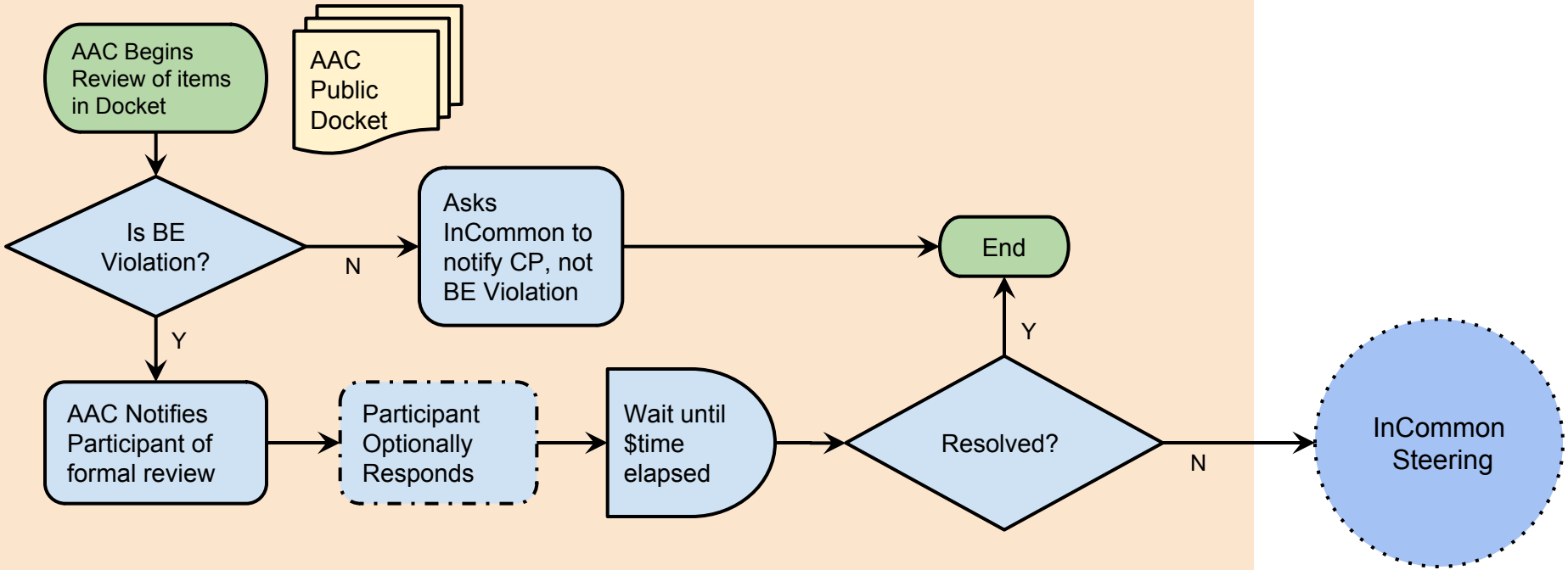


Full Diagram


Second Stage
Full Diagram
First Stage
CP Emails InCommon Support
Has Merit?
N
Reply to CP
End
Y
Open Ticket
Open Ticket:
Description
Dates
CP Contact
Is an Incident?
Y
Incident Response
Close Ticket
N
Y
InCommon contacts Participant to try and resolve
Resolved?
N
Third Stage

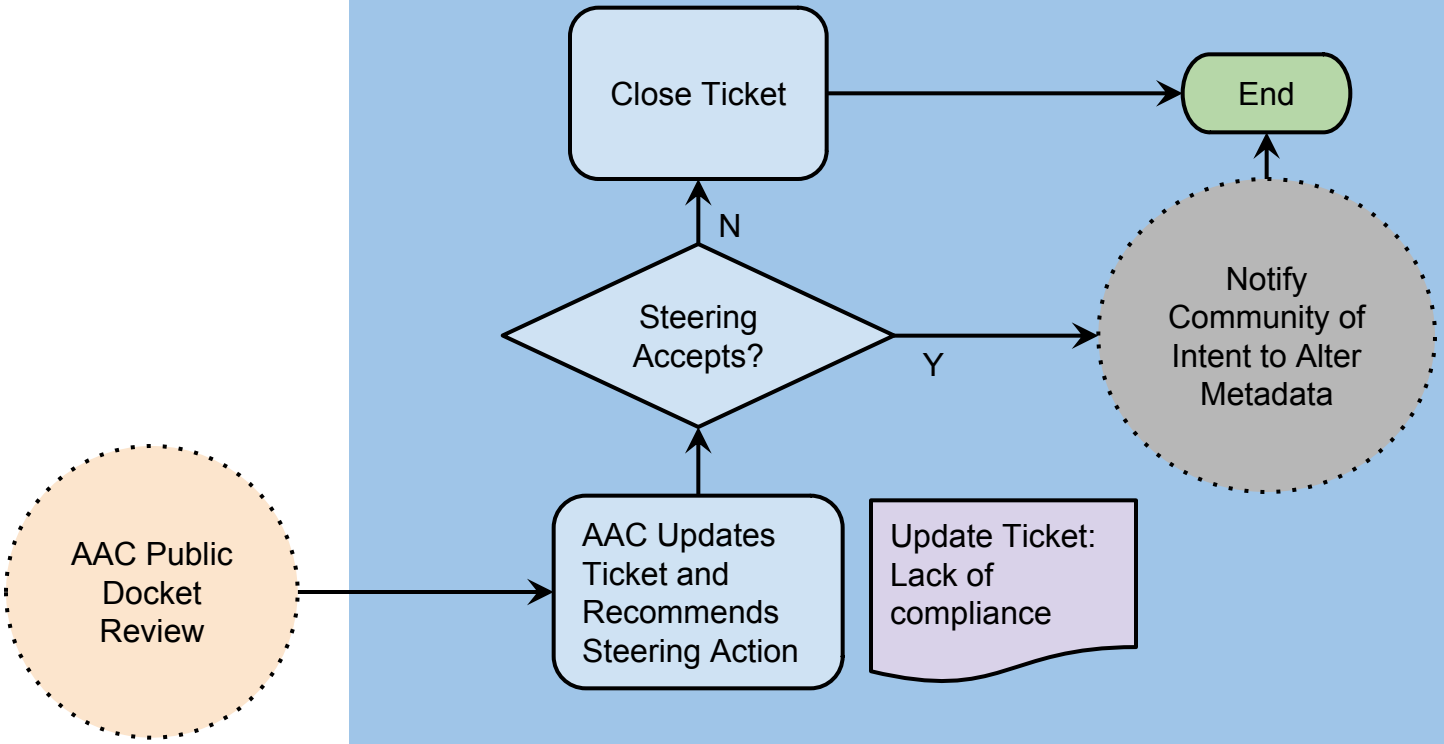

Third Stage
End
AAC Public Docket Review
InCommon Steering
Notify Community of Intent to Alter Metadata
First Stage
Second Stage
InCommon adds item to AAC Public Docket for review
AAC Public Docket
Full Diagram

AAC Public Docket Review



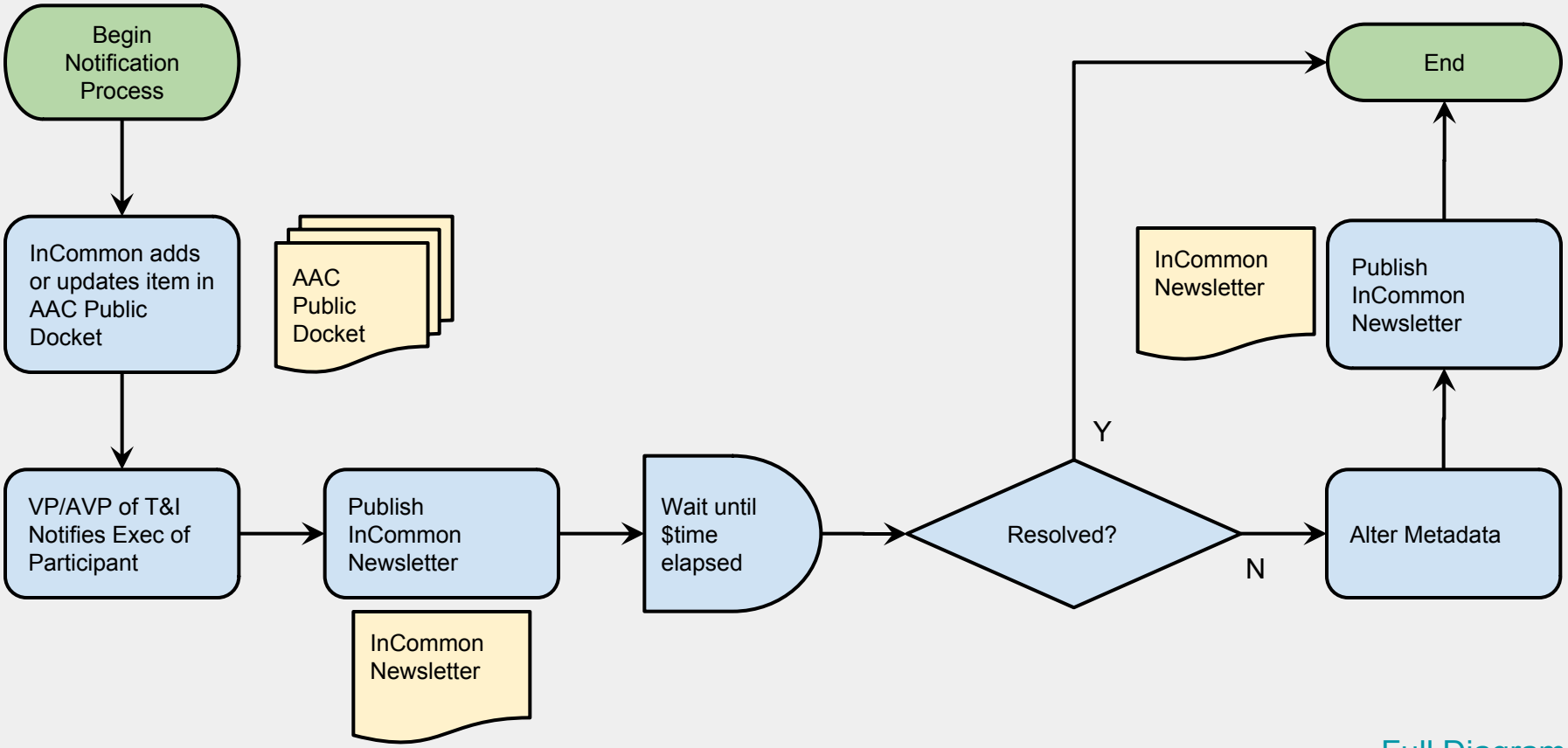
[Full Diagram]

InCommon Steering



Full Diagram

Notify Community of Intent to Alter Metadata



[Full Diagram]

Ongoing Processes

InCommon Operations automates specific checks for Baseline Expectations Violations, e.g.

- Privacy or Error URL = 404
- UI/Logo URL = 404
- Email address bounces back

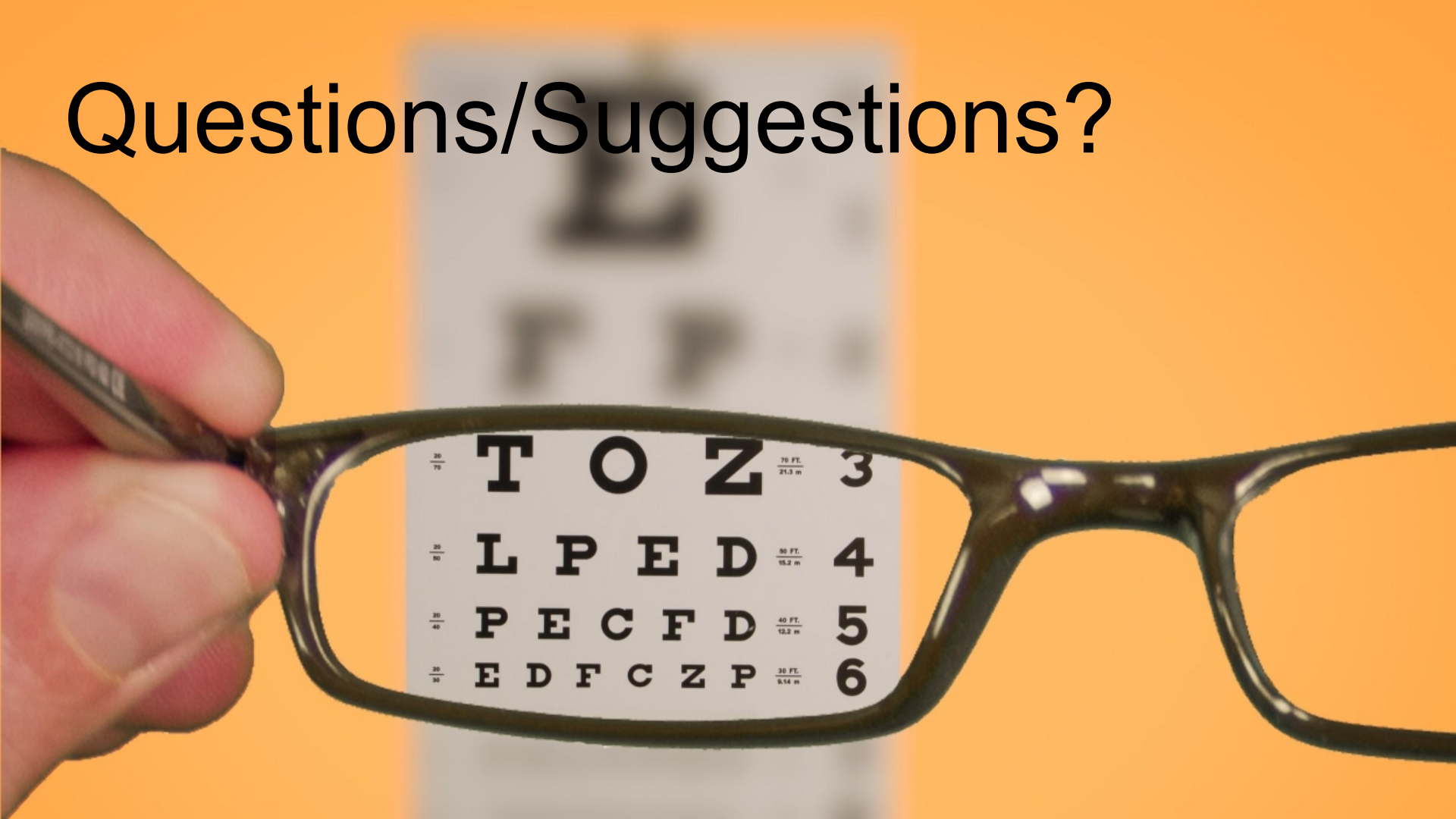
Baseline Expectations Website

- Baseline Expectations
- Guidance on Baseline Expectations
- Results of Community Consensus Process
- Results of Community Dispute Process
- AAC Public Docket

Next Steps

Modify the Federation Operating Policies and Practices (FOPP)

Modify the Participant Agreement (PA)

90 Day Review Period

Questions/Suggestions?