

# Toward Ethical Autonomous Systems: A Case-supported, Principle-based Behavior Paradigm

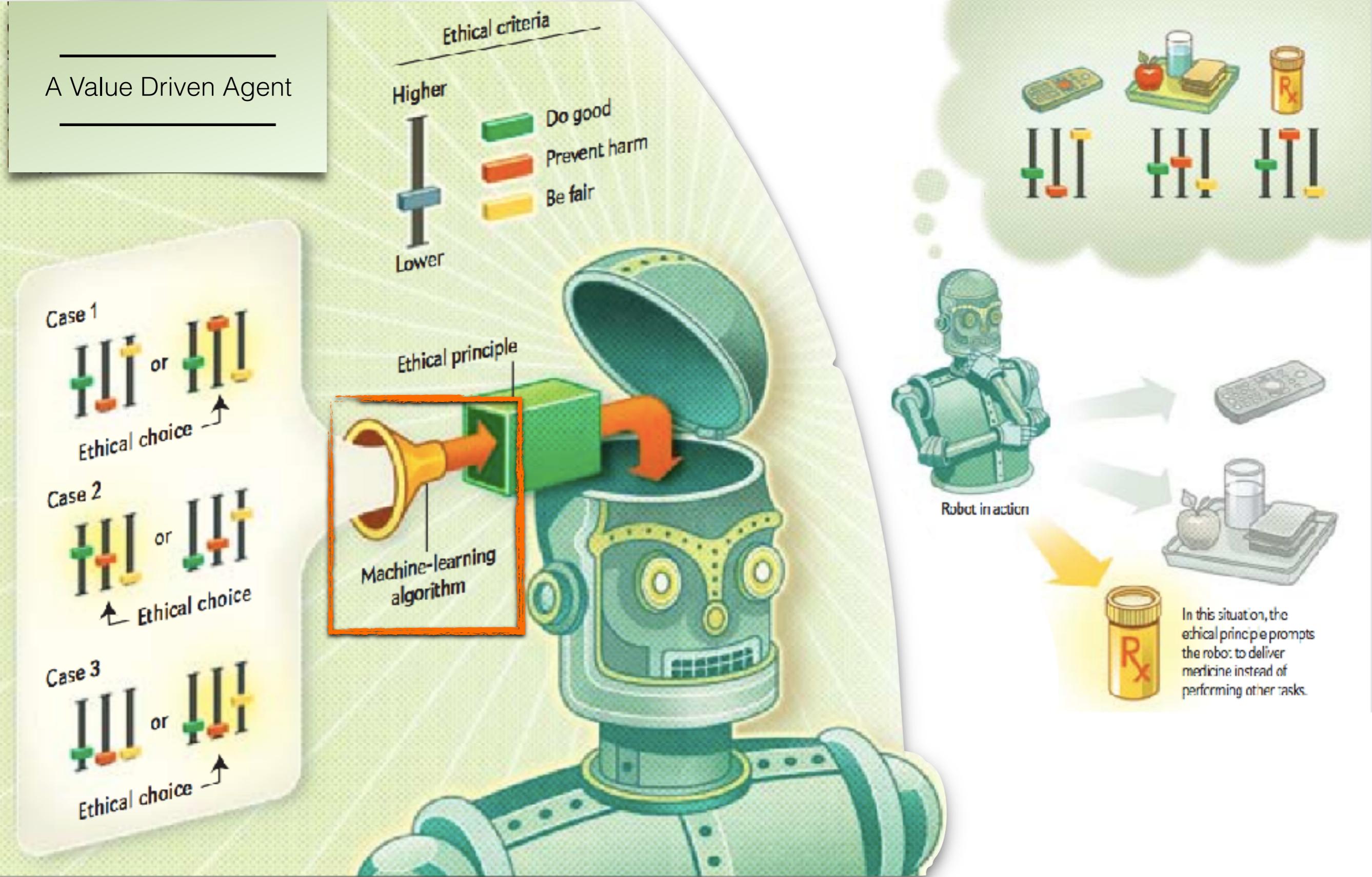
Michael Anderson  
University of Hartford

Susan Leigh Anderson  
University of Connecticut

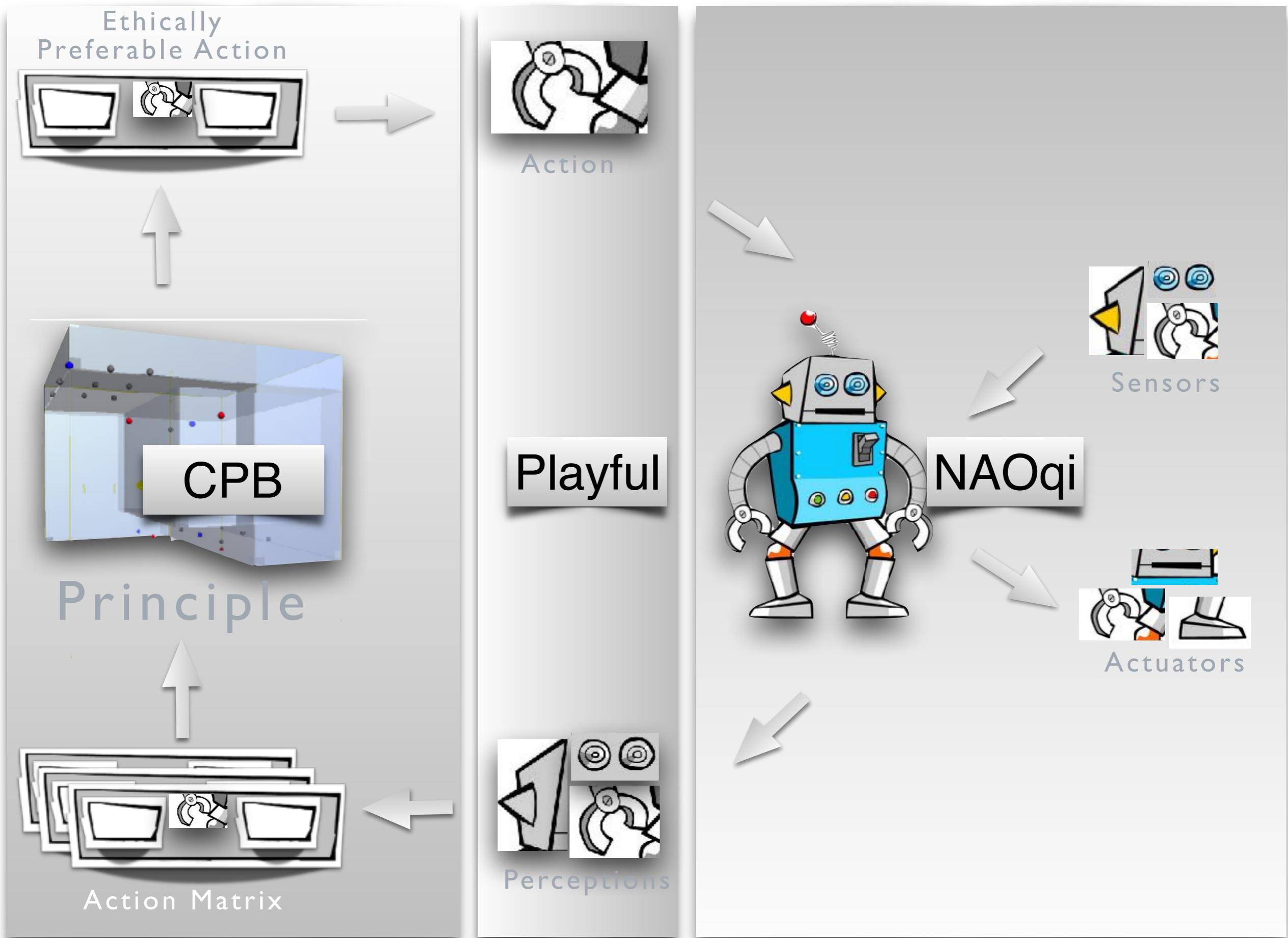
Vincent Berenz  
Max Planck Institute

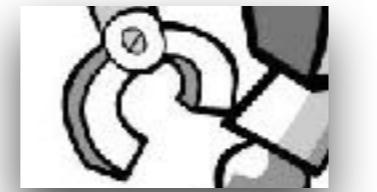
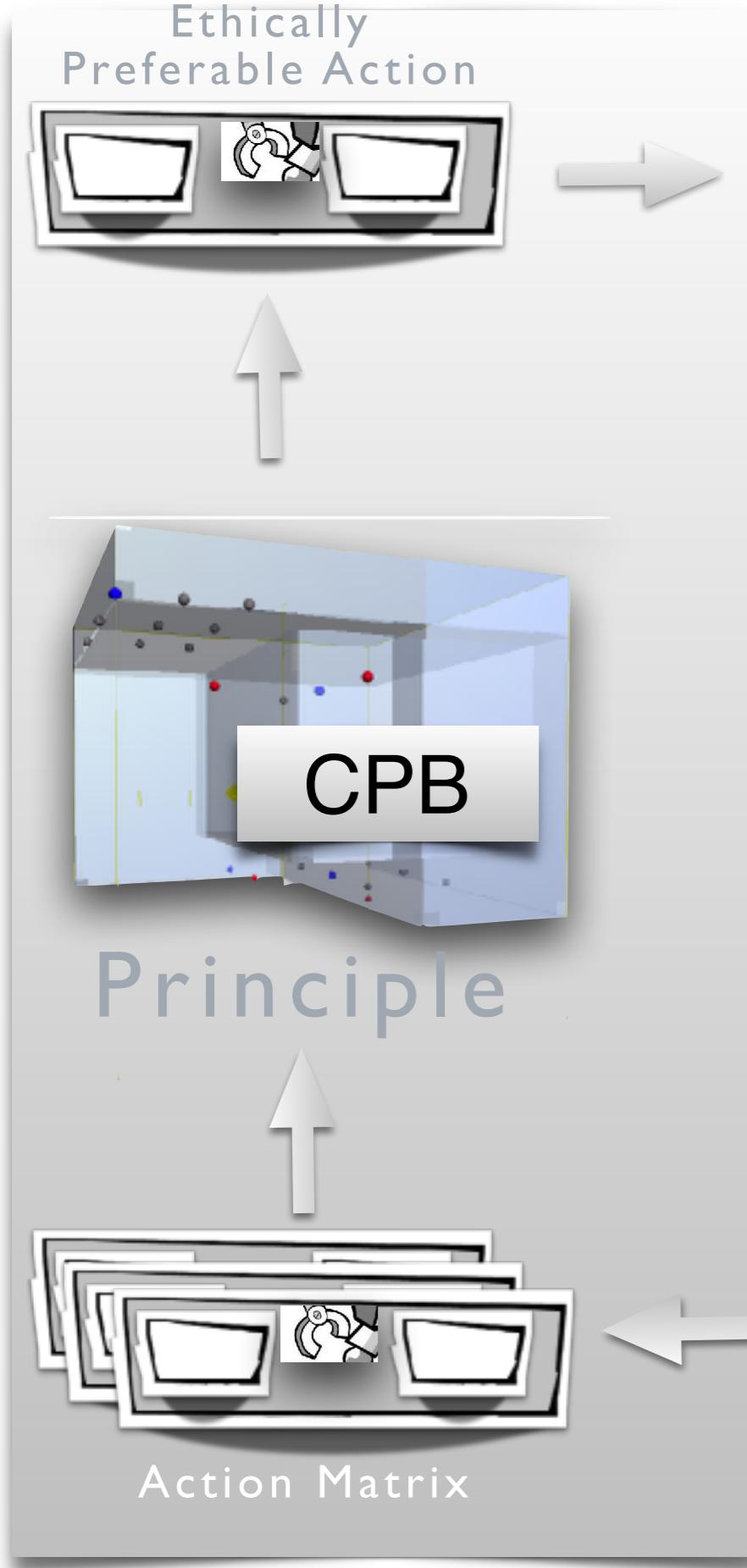
This material is based in part upon work supported by the NSF under Grant Numbers IIS-0500133, IIS-1151305 and IIS-1449155.

## A Value Driven Agent

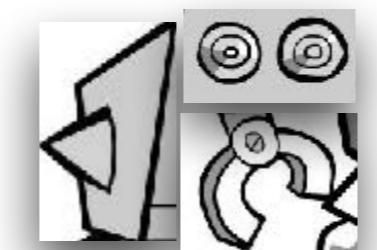


A Case-supported, Principle-based Behavior Paradigm

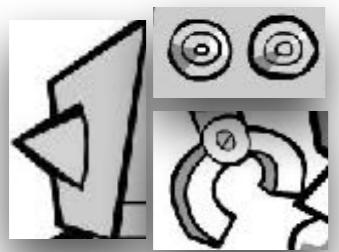




Action

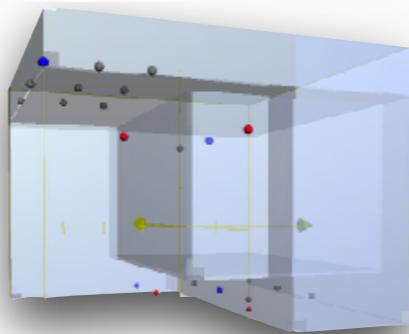


Perceptions



Perceptions

Action  
Matrix



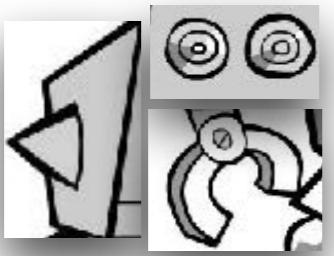
Principle



Ethically  
Preferable Action



Action



Perceptions



Action Matrix



## Perceptions

low battery
fully charged
medication reminder time
reminded
refused medication
persistent immobility
engaged
no interaction
warned
ignored warning

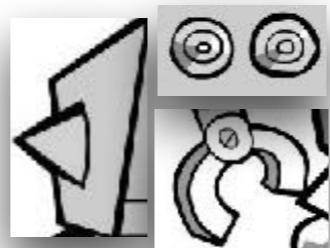
## Actions

charge
remind
engage
warn
notify
seek task



## Duties

maximize honor commitments
maximize maintain readiness
minimize harm to patient
maximize good to patient
minimize non-interaction
maximize respect autonomy
maximize prevention of immobility



Perceptions



## Duties

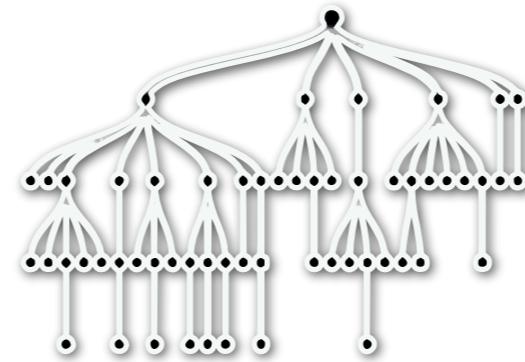
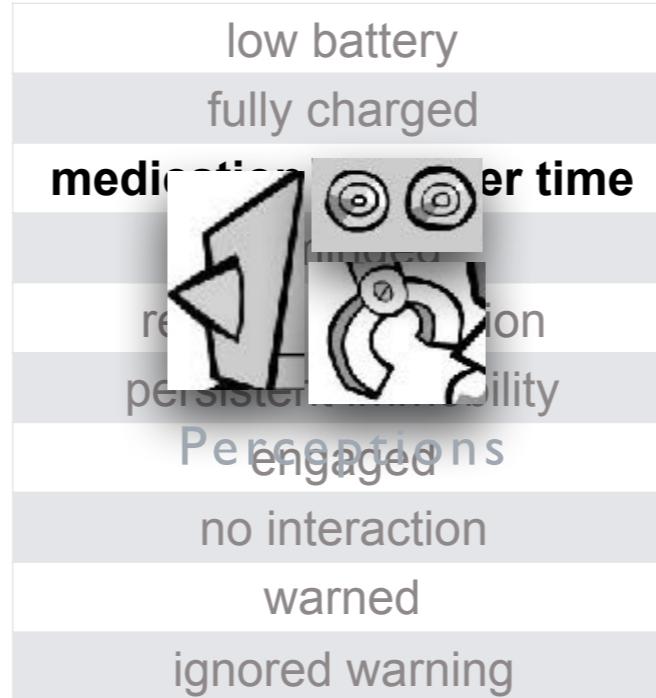
Actions

	<i>Max honor commitments</i>	<i>Max maintain readiness</i>	<i>Min harm to patient</i>	<i>Max good to patient</i>	<i>Min non-interaction</i>	<i>Max respect autonomy</i>	<i>Max prevention of immobility</i>
<i>Charge</i>							
<i>Remind</i>							
<i>Engage</i>							
<i>Warn</i>							
<i>Notify</i>							
<i>Seek Task</i>							

Action Matrix



# Perceptions



# Duties

Actions

	<i>Max honor commitments</i>	<i>Max maintain readiness</i>	<i>Min harm to patient</i>	<i>Max good to patient</i>	<i>Min non-interaction</i>	<i>Max respect autonomy</i>	<i>Max prevention of immobility</i>
<i>Charge</i>	-1	1	0	-1	0	0	0
<i>Remind</i>	1	-1	0	-1	0	0	0
<i>Engage</i>	-1	-1	0	-1	0	0	0
<i>Warn</i>	-1	0	0	-1	0	-1	0
<i>Notify</i>	-1	0	0	-1	0	-2	0
<i>Seek Task</i>	-1	-1	0	1	0	0	0

# Actions

**X Case Confirmation**

Case Name: Notify after warning

Case Description: notify is correct due to non-interaction after warning

**Correct Action**

Notify  
 Warn

**Harm** | Non-Interaction | Non-Interaction | Autonomy | Autonomy | New...

**State**  
 Presence  
 Absence

of **Feature**  
 2 degree of  
 Harm

in

**Action**  
 Notify  
 Warn

	Minimize Harm	Maximize Possible Good	Minimize Non-Interaction
Notify	2	0	2
Warn	-2	0	-1

Done Cancel Help

Max prevention of immobility

0 0 0

Caco Entry

for updates

/ Refusal

Prescribed medication that ideally should be taken at a particular time in order for the patient to receive a small benefit. If the patient will be more comfortable later, when they don't want to take it at that time.

ion

Notify

ature 2

Feature

of

Harm

in

Notify

Individual Summary Allowing Disruptive Behavior

1	-1
-1	1

Confirm Cancel Help

Display completed

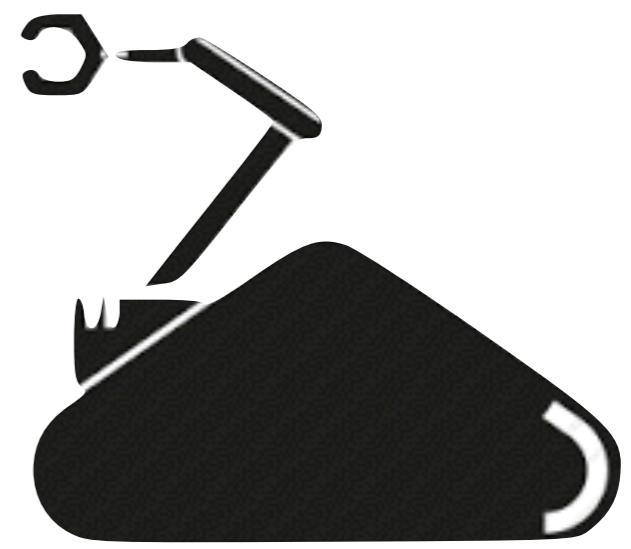
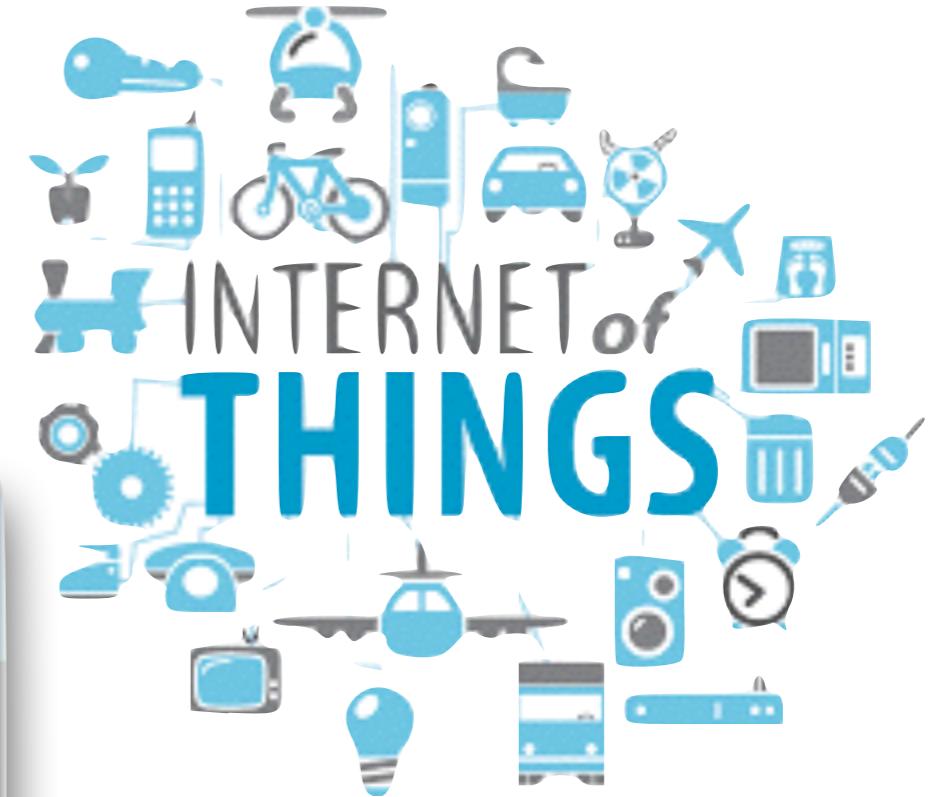
Remind

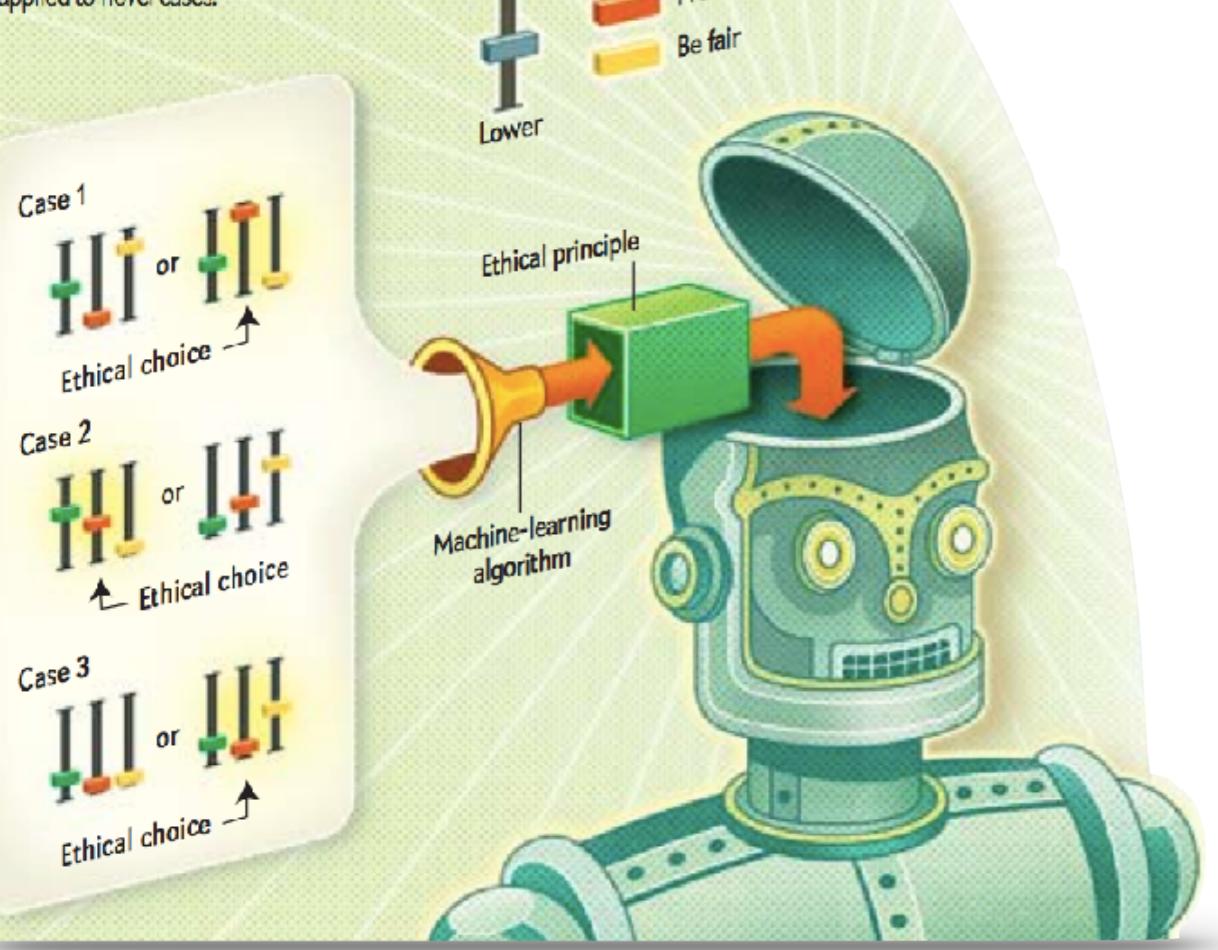
0 0



Max Planck Institute for Intelligent Systems  
**Autonomous Motion**  
Tübingen Campus







# Toward Ethical Autonomous Systems: A Case-supported, Principle-based Behavior Paradigm

Michael Anderson  
University of Hartford

Susan Leigh Anderson  
University of Connecticut

Vincent Berenz  
Max Planck Institute

This material is based in part upon work supported by the NSF under Grant Numbers IIS-0500133, IIS-1151305 and IIS-1449155.